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JC03 Rec'd PCT/PTO 05 OC

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 056226.56748US CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/DE2004/000694 April 3, 2004 April 10, 2003 TITLE OF INVENTION METHOD AND APPARATUS FOR DETECTING WIND VELOCITIES BY MEANS OF A DOPPLER-LIDAR SYSTEM APPLICANT(S) FOR DO/EO/US Thorsteinn HALLDORSSON, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. The US has been elected (Article 31). 図 5. \boxtimes A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. 冈 Is not required, as the application was filed in the United States Receiving Office (RO/US). C. 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. \bowtie has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)) Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including PTO Form 1449, International Search Report dated 11. August 12, 2004, PCT/ISA 237 w/English translation. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. \boxtimes A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 冈 15. A substitute specification. (clean and marked up version) 冈 A power of attorney and/or change of address letter. 16. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Form PCT/IB/308, three (3) sheets of drawings including figures 1-4, face page copy of WO

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

2004/092767 A1, Form PCT/IB/301and Form PCT/IB/304.

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PTO-1390 (Rev. 02-2005)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION (CO. T. S. C. S.) CPR 16) 7 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/DE2004/000694 056226.56748US CALCULATIONS PTO USE ONLY The following fees have been submitted Basic national fee\$300.00 \$300.00 21. 22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100.00 All other situations.....\$200.00 \$200.00 23. 冈 Search fee Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared and provided to the Office\$400 \$400.00 All other situations..... TOTAL OF 21, 22 AND 23 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) \$ x 250 45 - 100 150 = 00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$130.00 claimed priority date (37 CFR 1.492(h)). CLAIMS **NUMBER FILED NUMBER EXTRA** RATE Total claims 20 - 20 50 \$ 200 \$ Independent claims 2 - 3 x MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360 \$ \$1030.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).... **TOTAL NATIONAL FEE =** \$1030.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+ TOTAL FEES ENCLOSED = \$1030.00 Amount to be refunded: Amount to be charged: A check in the amount of \$1030.00 to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 056226.56748US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit C. Account No. 05-1323 (Attorney Docket No. 056226.56748US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Elwarde Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Gary R. Edwards NAME Washington, D.C. 20044-4300 31,824 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 October 5, 2005 DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

(To Be Assigned)

Confirmation No. : (TBA)

First Named Inventor

: Thorsteinn HALLDORSSON

Filed

: October 5, 2005 : (To Be Assigned)

TC/A.U. Examiner

: (To Be Assigned)

Docket No.

: 056226.56748US

Customer No.

: 23911

Title

: METHOD AND APPARATUS FOR DETECTING WIND VELOCITIES

By Means of a Doppler-Lidar System

SUBMISSION OF SUBSTITUTE SPECIFICATION

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

October 5, 2005

Gary R. Edwards

Registration No. 31,824

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300

Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

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